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Date: **Thursday, May 20, 2004**

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**Commissioner for Patents**

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REMARKS: ☐ Urgent ☒ For your review ☐ Reply ASAP ☐ Please comment

**RE: U.S.S.N.: 10/781,404**  
**Filing Date: 02/18/2004**  
**Attorney Docket No.: 2003U038.US**

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this correspondence, consisting of a Supplemental Information Disclosure Statement, a 1449 form and a copy of an article are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Thursday, May 20, 2004.

May 20/04  
Date

Kevin M. Faulkner  
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<b>TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b), 1.97(c), or 1.97(d)</b>		Attny. Docket No. <b>2003U038.US</b>	
In Re Application of: Porter C. Shannon et al.			
U.S. Serial No. <b>10/781,404</b>	Filing Date <b>February 18, 2004</b>	Examiner <b>Unassigned</b>	Group Art Unit <b>Unassigned</b>

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**37 CFR § 1.97(b)**

- ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); within three months of the date of entry of the national stage as set forth in § 1.491 in an international application; before the mailing of a first Office Action on the merits; or before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

**37 CFR § 1.97(c)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing date of a national application other than a continued prosecution application under § 1.53(d); after three months of the date of entry of the national stage as set forth in § 1.491 in an international application; after the mailing of a first Office Action on the merits; or after the mailing of a first Office Action after the filing of a request for continued examination under § 1.114, but before the mailing date of:

1. a Final Action under § 1.113,
2. a Notice of Allowance under § 1.311, or
3. an action that otherwise closes prosecution in the application,

and is accompanied by either:

- ☐ the fee as set forth in § 1.17(p), or
- ☐ the following statement under § 1.97(c)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

**37 CFR § 1.97(d)**

- ☐ The Information Disclosure Statement submitted herewith is being filed after a Final Action under § 1.113, a Notice of Allowance under § 1.311, or an action that otherwise closes prosecution in the application, but before, or simultaneously with, the payment of the issue fee. Submitted herewith is the fee as set forth in § 1.17(p) and the following statement under 37 CFR § 1.97(e)(1): each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Applicant hereby request consideration of the Information Disclosure Statement, USPTO form 1449, submitted herewith.

☒ Legible copies of the references are enclosed.

☐ This application is a:  
☐ Continuation / Divisional,  
☐ Continuation-in-Part,

of U.S.S.N. \_\_\_\_\_ Copies of the cited references are  
☐ enclosed; ☐ not enclosed. References are available in the parent application(s) if not enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment, to Deposit Account Number 50-0589.

May 20/04  
Date

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FORM - 1449

SHEET 1 OF 1

<b>INFORMATION DISCLOSURE STATEMENT</b>  <i>(Use several sheets if necessary)</i>				ATTY. DOCKET NO.		SERIAL NO.	
				2003U038.US		10/781,404	
				APPLICANT			
				Porter C. Shannon et al.			
				FILING DATE		GROUP	
02/18/2004		Unassigned					
U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	PUBL. DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES   NO
	AC						
	AD						
OTHER DISCLOSURES (Including Author, Title, Date, Pertinent Pages of Publication, Etc.)							
	AE	PAXON "High Density Polyethylene BC50-100 Sheet Extrusion and Blow Molding Resin" ExxonMobil Chemical (2004)					
	AF	TIPLIN "medium and high density polyethylene grades" TVK (Tiszai Vegyi Kombinat Rt.) (2003)					
	AG	F01552 "HDPE for Blow Film Extrusion" SABIC (Saudi Basic Industries Corp.)					
	AH	MARLEX "High Density Ethylene Hexene Copolymer" Chevron Phillips Chemical (May 2001)					
	AI	NOVAPOL "HDPE Pipe Extrusion Resin" HD-2007-H, NOVA Chemicals (April 2002)					
	AJ	F.A. Ruiz, "High Performance Mineral Reinforcement Concentrate for LLDPE and HMW-HDPE Blown Film Extrusion" J. of Plastic Film & Sheeting, Vol. 18, pp. 25-31 (Jan. 2002)					
EXAMINER				DATE CONSIDERED			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next comment to applicant							